

## Fourier transform infrared spectroscopy and differential scanning calorimetry studies of fatty acid homogeneous ceramide 2

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### Abstract

Ceramides provide a major component of the barrier function of skin. An understanding of barrier organization requires a detailed characterization of ceramide phase behavior and molecular interactions. Toward this end, Fourier transform infrared (FTIR) and differential scanning calorimetry (DSC) studies of ceramide 2 analogues (non-hydroxylated fatty acid *N*-acyl sphingosines) of specific chain lengths ( $C_{14}$ ,  $C_{16}$ ,  $C_{18}$ ,  $C_{20}$ ) are presented. In addition, the molecular interactions of the individual chains in each molecule are elucidated through thermotropic FTIR studies of derivatives possessing perdeuterated fatty acid chains. DSC data showed a much smaller chain length variation (for the  $C_{16}$ ,  $C_{18}$ ,  $C_{20}$  derivatives) in the main order-disorder transition temperature (approx.  $93 \pm 1^\circ\text{C}$ ) than is observed in the corresponding series of phosphatidylcholines, consistent with minimal ceramide hydration. The temperature dependence of the methylene stretching and scissoring modes revealed a solid-solid phase transition at  $20\text{--}25^\circ\text{C}$  below the main order-disorder transition accompanied by chain packing alterations from orthorhombic  $\rightarrow$  hexagonal subcells. The chain packing transition was accompanied by enhanced penetration of water into the polar region. This was deduced from the temperature dependence of the amide I and II modes, which provide direct evidence for  $\text{H} \rightarrow \text{D}$  exchange. The  $\text{CD}_2$  scissoring mode splitting of the deuterated fatty acid constituent of the  $C_{16}$ ,  $C_{18}$ ,  $C_{20}$  chains revealed preferential segregation of microdomains (3–5 chains) of this species within the orthorhombic phase. In contrast, the sphingosine base chains appeared to be sufficiently separated so as to inhibit interchain vibrational coupling between them. FTIR spectroscopy provides a convenient means for characterizing domain formation, chain packing, and hydration sites of these phases, which are highly ordered under physiological conditions. © 2000 Elsevier Science B.V. All rights reserved.

**Keywords:** Skin; Lipid barrier; Conformational order

### 1. Introduction

The thin outer layer of human skin consists of terminally differentiated anucleated cells (corneocytes) within a lamellar matrix of bilayer lipids.

This outer layer of skin, the stratum corneum (SC), provides the human body with a permeability barrier which prevents penetration of most biological and chemical agents into the body [1]. Moreover, the SC prevents unregulated water loss from the body through the skin and thus plays a crucial role in human physiology by maintaining water homeostasis [2]. The major components of the extracellular matrix of the SC are three families of lipids: ceramides,

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free fatty acids, and sterols [3–5]. The ceramides consist of at least seven different species with a wide range of long to very long fatty acid chains. The free fatty acids are saturated, primarily C<sub>20</sub> to C<sub>30</sub>, while the sterol component is mostly cholesterol, cholesterol sulfate, and cholesterol esters [6–8]. There have been a few calorimetry [9–11], X-ray [12–15], and NMR [16–19] studies of skin lipids; however, overall there are relatively few published studies on the phase behavior and physical chemistry of SC lipids and particularly, hydrated ceramides. A consistent finding of the published biophysical studies is that lipid organization in the SC is unlike that in other membranes, particularly in its highly ordered nature. This is appropriate for the barrier function of the SC lipids as well as with their chemical structure, i.e., long hydrocarbon chains and small polar headgroups.

Our laboratories are pursuing an understanding of the phase behavior and molecular organization of SC lipids [20–24]. Such studies are required particularly since the phase behavior of ceramides is significantly different from phospholipids and other more widely studied biological membrane lipids. To date, most reports on ceramides have utilized material isolated from animal sources such as bovine brain or pig skin, which naturally contain a distribution of amide-linked fatty acid chains and well as sphingosine base chains. The current investigation utilizes synthetic non-hydroxylated fatty acid *N*-acyl sphingosines (ceramide 2) with specified chain lengths (C<sub>14</sub>, C<sub>16</sub>, C<sub>18</sub> and C<sub>20</sub>). In addition, the use of deuterated derivatives of these chains permits the separate and simultaneous evaluation of the conformational order and packing properties of the sphingosine base chains and of the amide linked fatty acid chains in each derivative. Substitution of <sup>2</sup>H<sub>2</sub>O for H<sub>2</sub>O buffer permits detailed analysis of the headgroup structure and interactions through direct spectroscopic monitoring of the ceramide amide I and II modes. The combined use of infrared (IR) spectroscopy and differential scanning calorimetry (DSC) provides a detailed investigation of ceramide 2 phase behavior without the ambiguity and complications present with a mixture of lipid chain lengths.

Ceramide 2, as one of the most abundant ceramides in the human skin barrier, plays a critical role in the overall lipid matrix organization of the stratum

corneum. The current study of synthetic ceramide 2 derivatives/analogues provides a detailed picture of the molecular level organization and phase behavior of hydrated ceramide 2 under highly controlled conditions. This picture will add to our understanding of the contribution ceramide 2 makes to the cohesion, integrity, and function of the lipid skin barrier.

## 2. Experimental section

### 2.1. Materials

A series of synthetic non-hydroxylated fatty acid ceramides (*N*-acyl-D-erythro-sphingosine) representing human SC ceramide 2, and comprising amide linked fatty acid chains of tetradecanoic acid (C<sub>14</sub>-Cer), hexadecanoic acid (C<sub>16</sub>-Cer), octadecanoic acid (C<sub>18</sub>-Cer), and eicosanoic acid (C<sub>20</sub>-Cer) were purchased from Northern Lipids (Vancouver, Canada). An equivalent series of ceramides with perdeuterated fatty acid chains were also purchased from the same source. In all cases, the sphingosine chain was a C<sub>18</sub> chain with a *trans* 4,5 double bond. The chemical structure of these ceramides is shown in Fig. 1.

### 2.2. Fourier transform infrared (FTIR) spectroscopy

Ceramide films for FTIR experiments were pre-

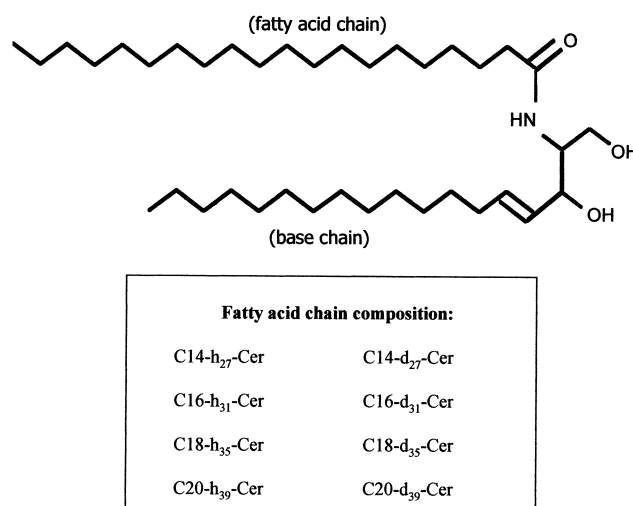


Fig. 1. The chemical structure of ceramide 2 and the various fatty acid chains used in the current study.

pared by evaporation from chloroform solution (2 mg in 200  $\mu$ l) onto a ZeSe horizontal attenuated total reflection (HATR) crystal mounted in a trough plate and placed in a temperature-controlled, horizontal ATR setup (Spectra-Tech, Shelton, CT). The lipid film was submerged under excess buffer (pH 5.5, 150 mM NaCl, 100 mM citric acid, 4 mM EDTA in  $\text{H}_2\text{O}$  or  $^2\text{H}_2\text{O}$ ) and the trough covered to retard buffer evaporation. FTIR spectra were acquired on a Mattson RS1 spectrometer equipped with a broad band mercury-cadmium telluride (MCT) detector and kept under continuous dry air purge. Spectra were generated by coaddition of 256 interferograms collected at  $2\text{ cm}^{-1}$  resolution. IR spectra were acquired at  $2^\circ\text{C}$  intervals from 25 to  $95^\circ\text{C}$ . IR spectra were analyzed off-line primarily using software written at the National Research Council of Canada. Figures were generated using Sigma Plot 5.0 (SPSS, Chicago, IL).

### 2.3. Differential scanning calorimetry

Hydrated ceramide samples were prepared by first dissolving the ceramides in chloroform and pipetting the appropriate volume into pre-weighed stainless steel pans. Bulk phase  $\text{CHCl}_3$  was removed under a gentle stream of  $\text{N}_2$  gas. The sample was then dried under vacuum overnight, hydrated with 40  $\mu$ l of  $\text{H}_2\text{O}$  buffer and the pans sealed. All samples were kept at  $-10^\circ\text{C}$  prior to measurements.

DSC measurements were made with a Perkin-Elmer Pyris-1 DSC differential scanning calorimeter (Norwalk, CT) at heating rates of 1 and  $5^\circ\text{C}$  per min. The samples were repeatedly cycled from 25 to  $90^\circ\text{C}$  at  $5^\circ\text{C}/\text{min}$  to ensure uniform hydration, following 1–2 h of equilibration in the instrument. Duplicate runs of the same sample gave onset, mid-point, and completion temperatures reproducible to  $1^\circ\text{C}$ . Final thermograms were recorded at a scan rate of  $1^\circ\text{C}/\text{min}$ . Data were analyzed using Perkin-Elmer Thermal Data Analysis software. The enthalpy was determined from the area under the transition peak by comparison with an indium standard.

## 3. Results

Fig. 2 shows the thermotropic response of the IR

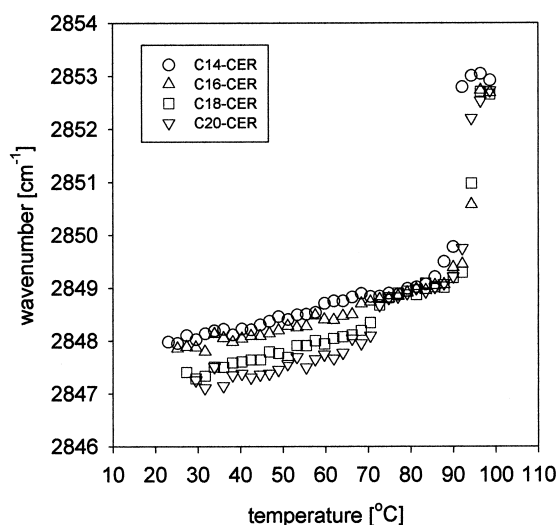


Fig. 2. The temperature dependence of the  $\nu_s\text{CH}_2$  of hydrated samples of C14-Cer ( $\circ$ ), C16-Cer ( $\triangle$ ), C18-Cer ( $\square$ ) and C20-Cer ( $\nabla$ ).

symmetric methylene stretching mode frequency ( $\nu_s\text{CH}_2$ ) near  $2850\text{ cm}^{-1}$  for C14-Cer, C16-Cer, C18-Cer, and C20-Cer hydrated in  $^2\text{H}_2\text{O}$  buffer. In all cases the ceramides undergo a phase transition from ordered all-*trans* chains to conformationally disordered chains near  $90^\circ\text{C}$ , characterized by an increase of approx.  $4\text{ cm}^{-1}$  in  $\nu_s\text{CH}_2$ . It is of interest to note the similarity in transition temperatures for these four molecules, which differ by up to six carbons in fatty acid chain length. Between  $20^\circ\text{C}$  and the major chain disordering transition, the  $\nu_s\text{CH}_2$  frequency of C14-Cer and C16-Cer rises monotonically from approx.  $2848$  to  $2849\text{ cm}^{-1}$ . For C18-Cer and C20-Cer the initial  $\nu_s\text{CH}_2$  frequency of approx.  $2847\text{ cm}^{-1}$  monotonically increases to approx.  $2848\text{ cm}^{-1}$  then undergoes a sharp  $1\text{ cm}^{-1}$  transition at approx.  $70^\circ\text{C}$ . For all ceramides,  $\nu_s\text{CH}_2$  remains at approx.  $2849\text{ cm}^{-1}$  from  $73^\circ\text{C}$  until the onset of the highly cooperative chain melting transition at  $90^\circ\text{C}$ . All experiments were repeated several times in both  $\text{H}_2\text{O}$  and  $^2\text{H}_2\text{O}$  buffers and all transition temperatures were reproducible to within  $1\text{--}2^\circ\text{C}$ . Small variations in the absolute value of  $\nu_s\text{CH}_2$  were observed such that the lowest (low temperature) value for this parameter was sometimes observed for C18-Cer rather than C20-Cer. However, C18-Cer and C20-Cer frequencies were always grouped together and were lower than C16-Cer and C14-Cer, suggesting

a more ordered, tightly packed, chain organization for the longer chain fatty acid ceramides. The structural origin of the low temperature transition is confirmed from studies of the  $\nu_s\text{CH}_2$  mode as noted below and as discussed previously [21].

Perdeuteration of the ceramide fatty acid chains permits the thermotropic response, conformational order, and packing behavior of the ceramide fatty acid and base chains to be probed directly and individually. The  $\nu_s\text{CH}_2$  frequencies for the base chains of these hydrated ceramides are plotted in Fig. 3A. The conformational behavior of the base chain, which is the same length in all these ceramides, is clearly influenced by the fatty acid chain length. At low temperatures, the base chains of the  $\text{d}_{35}\text{C18-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$  are more ordered than the  $\text{d}_{31}\text{C16-Cer}$  and  $\text{d}_{27}\text{C14-Cer}$ . As with the fully proteated molecules, there is clear evidence of a solid-solid transition at temperatures prior to the main phase transition. Both  $\text{d}_{27}\text{C14-Cer}$  and  $\text{d}_{31}\text{C16-Cer}$  undergo this process at  $63^\circ\text{C}$  as indicated by a frequency increase

in  $\nu_s\text{CH}_2$  from 2849 to  $2850\text{ cm}^{-1}$  while the  $\text{d}_{35}\text{C18-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$  derivatives exhibit their solid-solid transition at  $70^\circ\text{C}$  characterized by an increase in  $\nu_s\text{CH}_2$  from approx.  $2848.5$  to  $2850\text{ cm}^{-1}$ . The base chains in all the ceramide derivatives undergo their main order-disorder transitions at  $90$ – $93^\circ\text{C}$ . It is noted that above  $70^\circ\text{C}$ , the conformational behavior of the base chain in all four ceramide molecules is equivalent. However, at temperatures below this value (which are of physiological interest for understanding the SC barrier function) the properties of the base chain are clearly influenced by the length of the fatty acid chain. Thus, in ceramides with longer fatty acid chains (e.g.,  $\text{d}_{35}\text{C18-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$ ) the base chains exist in a more tightly packed structure (see Section 4), as indicated by the lower value of  $\nu_s\text{CH}_2$ . The conformational behavior of the ceramide fatty acid chains is monitored via the thermotropic response of  $\nu_s\text{CD}_2$ . This is plotted as a function of temperature for all four ceramide molecules in Fig. 3B. The most intriguing result is that  $\nu_s\text{CD}_2$  for  $\text{d}_{27}\text{C14-Cer}$  and  $\text{d}_{31}\text{C16-Cer}$  decreases in value by approx.  $1.5$  and  $0.5\text{ cm}^{-1}$  at  $65^\circ\text{C}$ , respectively, i.e., where the solid-solid transition is observed in the base chains in Fig. 3A. This phenomenon is unexpected as methylene frequencies usually increase with temperature as intramolecular conformational disorder increases. The longer chain ceramides,  $\text{d}_{35}\text{C18-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$ , exhibit less clear-cut behavior. The  $\nu_s\text{CD}_2$  of the fatty acid chain of  $\text{d}_{35}\text{C18-Cer}$  increases slightly from  $60$  to  $70^\circ\text{C}$  whereas  $\nu_s\text{CD}_2$  of  $\text{d}_{39}\text{C20-Cer}$  does not undergo any sharp change, but rather, monotonically decreases in frequency by  $<0.5\text{ cm}^{-1}$  from  $60^\circ\text{C}$  to  $80^\circ\text{C}$ . The deuterated fatty acid chains and proteated base chains of all the ceramides then undergo their major transition from conformationally ordered to conformationally disordered chains at about  $92^\circ\text{C}$ . The detailed, chain specific, information in Fig. 3 illustrates the utility of isotope labeling in biophysical IR spectroscopy (see Section 4).

Qualitative information about rates of acyl chain motion are available from the thermotropic behavior of the linewidths of  $\nu_s\text{CH}_2$  for the fully proteated and specific fatty acid perdeuterated species. The linewidth data for the four fully proteated molecules and for the fatty acid deuterated derivatives are shown in Fig. 4A and B respectively. The linewidths

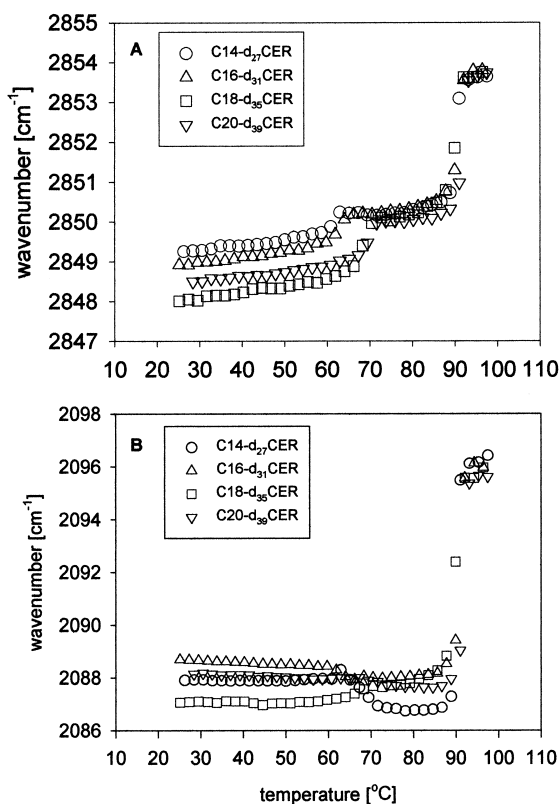


Fig. 3. The temperature dependence of  $\nu_s\text{CH}_2$  from the base chains (A) and  $\nu_s\text{CD}_2$  from the fatty acid chains (B) of  $\text{d}_{27}\text{C14-Cer}$  (○),  $\text{d}_{31}\text{C16-Cer}$  (△),  $\text{d}_{35}\text{C18-Cer}$  (□) and  $\text{d}_{39}\text{C20-Cer}$  (▽).

of all the base chains are less than the linewidths measured for both chains in Fig. 4A. This probably arises from inhomogeneous broadening since the base chains have slightly different  $\text{CH}_2$  stretching frequencies than the fatty acid chains in the fully proteated derivatives (compare Figs. 2 and 3), leading to a broadened overall band contour in Fig. 4A. More interesting is the thermotropic behavior of the base chain linewidth of the  $\text{d}_{27}\text{C14-Cer}$  derivative. The width of the  $\nu_s\text{CH}_2$  mode in the  $\text{d}_{27}\text{C14-Cer}$  derivative is much larger than for the other three derivatives (Fig. 4B) and suggests increased rates of motion for the sphingosine chain in this instance.

The transition temperatures of the four fully proteated ceramides were also measured with DSC. Thermograms from the hydrated lipid films are plotted in Fig. 5. Typical  $T_m$  values were 86.3, 94.1, 92.7, and 92.9°C for C14-Cer, C16-Cer, C18-Cer, and C20-Cer, respectively, while the calculated  $\Delta H$  values

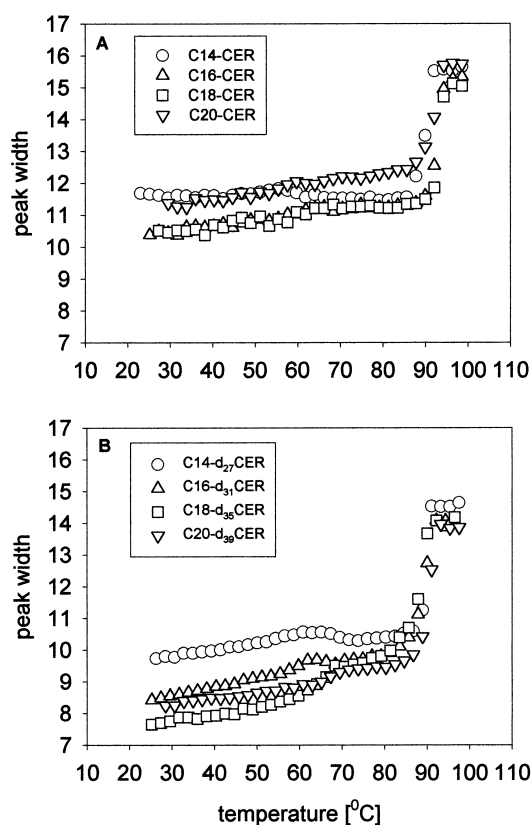


Fig. 4. Linewidths (full widths at half-height) for the  $\text{CH}_2$  symmetric stretching vibration for (A) C14-Cer ( $\circ$ ), C16-Cer ( $\Delta$ ), C18-Cer ( $\square$ ) and C20-Cer ( $\nabla$ ) and (B)  $\text{d}_{27}\text{C14-Cer}$  ( $\circ$ ),  $\text{d}_{31}\text{C16-Cer}$  ( $\Delta$ ),  $\text{d}_{35}\text{C18-Cer}$  ( $\square$ ) and  $\text{d}_{39}\text{C20-Cer}$  ( $\nabla$ ).

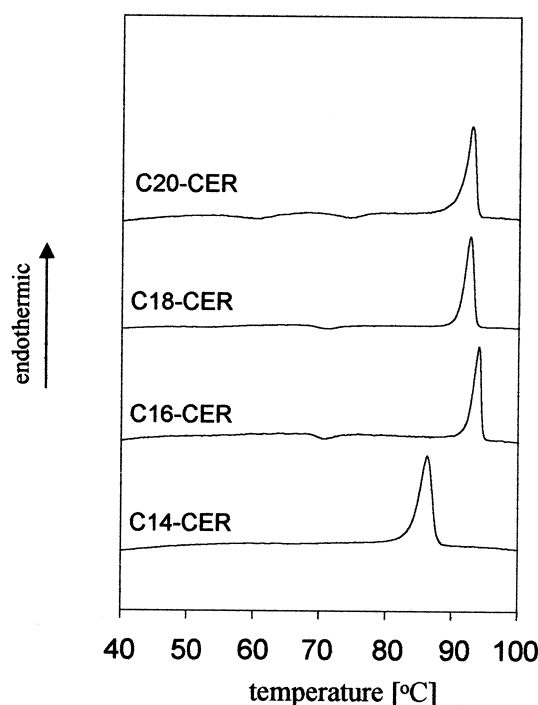


Fig. 5. DSC thermograms of hydrated C14-Cer, C16-Cer, C18-Cer and C20-Cer. The heating rates were  $1^\circ\text{C}/\text{min}$ .

were 59.0, 73.2, 74.9, 71.8 kJ/mol, respectively. The C14-Cer consistently melted several degrees lower than the other ceramides, although the magnitude of this effect depended somewhat on the sample preparation method. When the ceramides were hydrated from a dried powder (not a film) the  $T_m$  of C14-Cer was much closer to the other ceramides again around 92°C. The DSC data are reported for the hydrated film method as that corresponds to the method used to prepare the IR samples. The DSC transition temperatures show very good agreement with those observed in the IR experiments.

In addition to monitoring chain conformational order (i.e., intramolecular behavior), FTIR spectroscopy is convenient for determination of intermolecular chain packing arrangements [25,26]. These are monitored via the methylene bending ( $\delta\text{CH}_2$  and  $\delta\text{CD}_2$ ) modes. In Fig. 6 the temperature dependence of the  $\delta\text{CH}_2$  spectral region ( $1450\text{--}1480\text{ cm}^{-1}$ ) is shown for proteated C14-Cer and C20-Cer. For all the ceramides, including C16-Cer and C18-Cer for which data are not shown, the broad nature of the  $\delta\text{CH}_2$  spectral band at low temperatures indicates the presence of at least two, and probably three under-

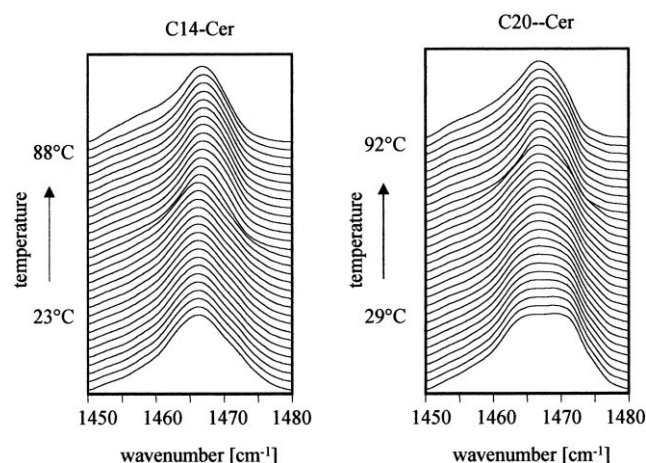


Fig. 6. Original spectra showing the  $\delta\text{CH}_2$  spectral region of hydrated C14-Cer and C20-Cer as a function of temperature. Spectra were acquired at approx.  $2^\circ\text{C}$  intervals over the indicated ranges. Spectra are offset on the vertical scale for clarity. Note the reduced (or absent) splitting in the C14-Cer derivative.

lying modes. The band positions can be estimated from second derivative spectra (not shown). In all cases, a central component at  $1468\text{ cm}^{-1}$ , characteristic of hexagonally packed chains, and two bands from the orthorhombically packed chains at approx.  $1465$  and  $1472\text{ cm}^{-1}$  are observed, although this is less evident for C14-Cer compared to the others. For the derivatives with the three longest chains, the bandwidth of the entire contour decreases at  $65^\circ\text{C}$ , corresponding to a breakdown of orthorhombic perpendicular chain packing (this is also evident in the stretching mode behavior in Figs. 2 and 3). The packing interactions of the individual chains are revealed by analyzing separately the  $\delta\text{CH}_2$  and  $\delta\text{CD}_2$  spectral regions of  $\text{d}_{27}\text{C14-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$ , as shown in Fig. 7. The spectra shown are inverted second derivative spectra of the  $\delta\text{CH}_2$  ( $1450\text{--}1480\text{ cm}^{-1}$ ) and  $\delta\text{CD}_2$  spectral regions ( $1080\text{--}1100\text{ cm}^{-1}$ ). It is interesting to note the absence of splitting in the  $\delta\text{CH}_2$  ( $1469\text{ cm}^{-1}$ ) band, which is observed for all four ceramides. In contrast, the  $\delta\text{CD}_2$  band is quite clearly split in the spectra of  $\text{d}_{39}\text{C20-Cer}$  (also for  $\text{d}_{31}\text{C16-Cer}$  and  $\text{d}_{35}\text{C18-Cer}$ , not shown) although not in  $\text{d}_{27}\text{C14-Cer}$ . The  $\text{d}_{27}\text{C14-Cer}$  derivative exhibits a  $0.6\text{ cm}^{-1}$  increase at the solid-solid phase transition temperature while the

scissoring doublet of  $\text{d}_{39}\text{C20-Cer}$  collapses at  $70^\circ\text{C}$ . The latter observation is consistent with an orthorhombic  $\rightarrow$  hexagonal transition.

Spectral features arising from the polar regions of these synthetic ceramides are, as expected, unaffected by isotopic labeling of the fatty acid chain. Thus identical behavior was observed for fully proteated and isotopically labeled molecules. For brevity, only spectra from C14-Cer and C20-Cer in  $^2\text{H}_2\text{O}$  buffer are plotted in Fig. 8. Analogous behavior is observed for C16-Cer and C18-Cer. The headgroup amide I ( $\nu\text{C}=\text{O}$ ) and II (mixed  $\nu\text{C}-\text{N}$  and  $\delta\text{N}-\text{H}$ ) modes are both split into two components. The amide I peaks appear at approx.  $1615$  and  $1645\text{ cm}^{-1}$  while the amide II bands are at approx.  $1545$  and  $1567\text{ cm}^{-1}$ . The disappearance of the amide II band occurs at approx.  $65^\circ\text{C}$  for C14-Cer (and C16-Cer, not shown) and at approx.  $67^\circ\text{C}$  for C20-Cer (and C18-Cer, not shown). This is the temperature at which the orthorhombic-hexagonal chain packing transition occurs for these molecules (see Fig. 2). However, there is a small amide II band (approx.  $1550\text{ cm}^{-1}$ ) remaining in the spectra of C20-Cer (and C18-Cer, not shown) until the onset of the major chain melting transition.

The presence of the  $4,5\text{ trans C}=\text{C}$  bond near the headgroup of ceramide 2 likely has a significant impact on both the headgroup and base chain conformational freedom of this molecule. As in our previous studies of fatty acid heterogeneous ceramide 2, at least two bands appear to come from the out-of-plane bending mode of the vinylic C-H [21]. In Fig. 9 the  $940\text{--}1000\text{ cm}^{-1}$  spectral region is displayed for C14-Cer and C20-Cer as a function of temperature. Both molecules have bands at  $960$  and  $980\text{ cm}^{-1}$  at lower temperatures (as do C16-Cer and C18-Cer, not shown), while C14-Cer (and C16-Cer, not shown) has an additional band at  $970\text{ cm}^{-1}$ . Above the solid-solid transition temperature, coincident with changes in the amide I and II modes, the  $980\text{ cm}^{-1}$  band shifts to  $985\text{ cm}^{-1}$ , the  $970\text{ cm}^{-1}$  mode disappears, and the  $960\text{ cm}^{-1}$  remains. In all cases the  $960$  and  $985\text{ cm}^{-1}$  bands persist until complete chain melting at  $90^\circ\text{C}$ , at which point the two peaks collapse to a single peak at  $970\text{ cm}^{-1}$ . This behavior, which arises from the proteated base chains, is identical for the corresponding deuterated ceramides, as expected.

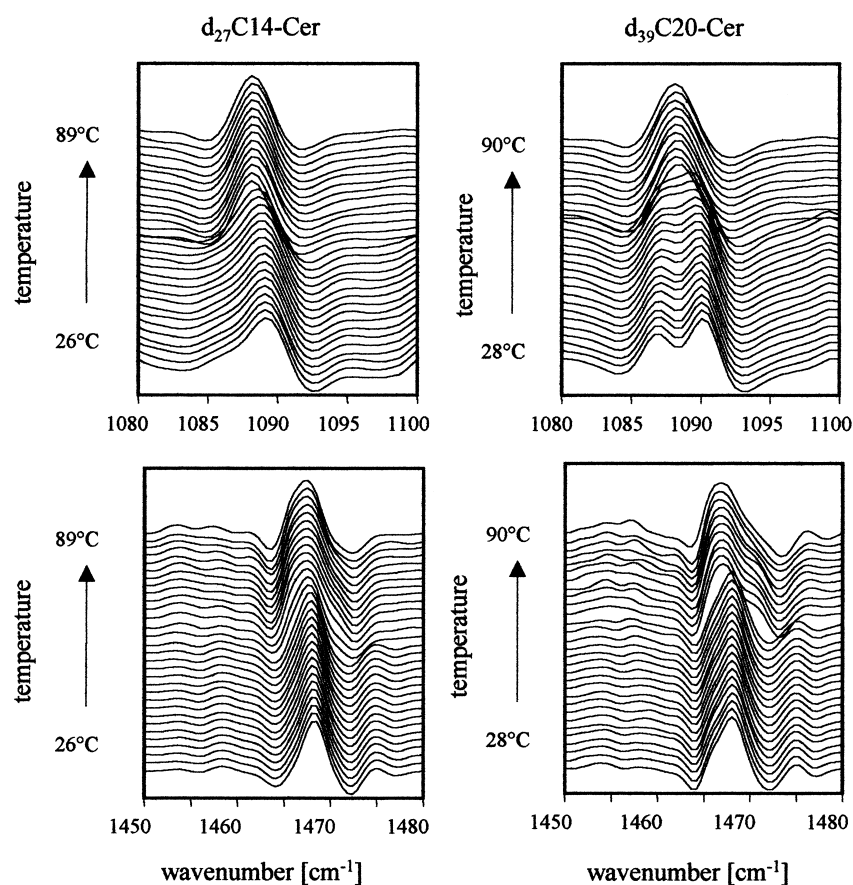


Fig. 7. Inverted second derivative spectra of  $d_{27}C14\text{-Cer}$  and  $d_{39}C20\text{-Cer}$  showing the  $\delta CD_2$  (1080–1100  $\text{cm}^{-1}$ , fatty acid chain) and  $\delta CH_2$  (1450–1480  $\text{cm}^{-1}$ , base chain) spectral regions as a function of temperature. Note the absence of splitting due to absence of domains in the base chain modes in each case.

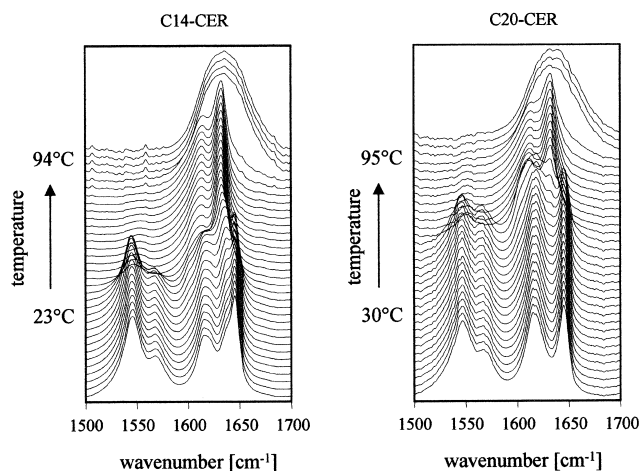


Fig. 8. Original spectra of C14-Cer and C20-Cer in  $^2H_2O$ , showing the splitting of both the amide I (approx. 1650  $\text{cm}^{-1}$ ) and amide II (approx. 1550  $\text{cm}^{-1}$ ) modes as a function of temperature. At higher temperature the amide II mode disappears in both cases due to H-D exchange.

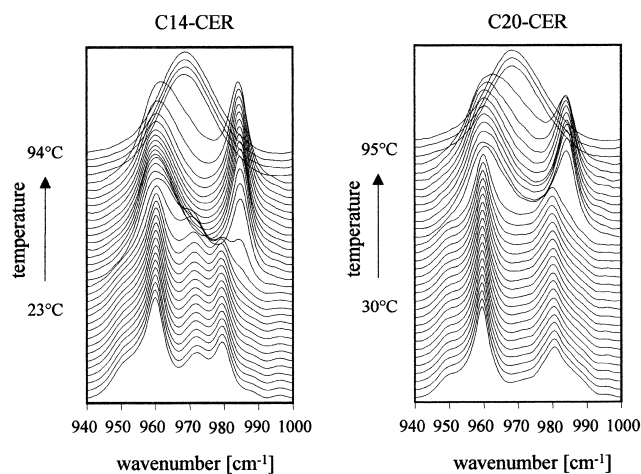


Fig. 9. Original spectra of the out-of-plane  $HC=C$  region (940–1000  $\text{cm}^{-1}$ ) of C14-Cer and C20-Cer in  $^2H_2O$  as a function of temperature.

#### 4. Discussion

The use of IR spectroscopy combined with isotopic labeling provides unique information about molecular structure and interactions in this set of chain length homogeneous ceramide molecules. Previous studies of this class of molecules have utilized samples possessing substantial heterogeneity in the fatty acid chain, thereby limiting the information available from particular molecular species.

DSC provides a convenient characterization of the thermodynamics of phase transitions. The transition temperatures observed for all the ceramide derivatives were essentially chain length independent, with the slight exception of C14-Cer, with a  $T_m$  consistently approx. 6°C lower than the other three molecules. It is interesting to note that when the ceramides were hydrated from the dried powder as opposed to a film that the  $T_m$  of C14-Cer was within 1–2°C of the other ceramides. Overall, the uncertainty in the DSC measurements is on the order of  $\pm 1^\circ\text{C}$ . This reduced dependence of  $T_m$  on fatty acid chain length is in contrast to the vast body of data for hydrated phospholipid bilayers. For example, the members of a comparable fully hydrated series of phosphatidylcholines (PCs), 1-myristoyl, 2-stearoylPC, 1-palmitoyl, 2-stearoylPC, and 1,2-distearoylPC, exhibit main transition temperatures of 42, 47.5 and 55°C, respectively [27]. Similar effects are noted for other lipid classes where one chain length is held constant and the second chain length is systematically varied [28].

While DSC provides thermodynamic data concerning the phase behavior of hydrated lipids it obviously does not provide any molecular information, FTIR spectroscopy provides molecular level detail about the observed phase transitions. The methylene stretching mode frequency for each of the four ceramides are plotted in Fig. 2. In general, this mode is sensitive to both chain conformation and altered chain packing [29,30]. Frequencies above approx.  $2852\text{ cm}^{-1}$  are indicative of chains with a substantial fraction of disorder. However, the observation of  $\nu_s\text{CH}_2$  frequencies below  $2850\text{ cm}^{-1}$  at low temperatures indicate that the ceramide chains are in the all-*trans* conformation. Therefore, the transition observed near 70°C at approx.  $2848\text{ cm}^{-1}$  results from altered chain packing of ordered ceramide

chains (for further details about the effects of conformations vs. packing changes on methylene frequencies, see the discussion in [20,21]). Thus the lower temperature discontinuity evident for C18-Cer and C20-Cer is assigned to a solid-solid phase transition. As noted above, this transition is only marginally detectable for the C14- and C16-ceramides. The straightforward interpretation of these data would be that there is significantly more rearrangement in the chain packing of the longer chain ceramides. However, utilizing the isotopically labeled ceramides, and probing the inter- and intramolecular behavior of the individual ceramide chains, leads to a different conclusion. The methylene stretching modes ( $\nu_s\text{CH}_2$  and  $\nu_s\text{CD}_2$ ) plotted in Fig. 3 for the base chain and fatty acid chain, respectively, reveal significant frequency changes at approx. 65°C for both C14-Cer and C16-Cer. Thus, while  $\nu_s\text{CH}_2$  increases by  $1\text{ cm}^{-1}$  (notable in both derivatives),  $\nu_s\text{CD}_2$  decreases by  $>1\text{ cm}^{-1}$  (notable mainly in the C14 derivative) suggesting a structural alteration for these molecules in which packing becomes tighter (more restricted) for the fatty acid chains and looser for the base chains. This information was obviously not available from the data in Fig. 2. In that instance, the average behavior of the C14-Cer and C16-Cer chains was measured. Thus, no change in chain packing could be detected for these ceramides, presumably due to the fact that  $\nu_s\text{CH}_2$  of the base chain was increasing while  $\nu_s\text{CH}_2$  of the fatty acid chain was decreasing, resulting in no net change in  $\nu_s\text{CH}_2$ .

The current FTIR spectroscopy measurements also provide specific information about ceramide chain packing. This is accomplished through examination of the methylene bending modes which are particularly well suited for detection of domains of orthorhombic subcell structure [25]. When conformationally ordered chains are packed in an orthorhombic subcell arrangement, the scissoring modes split into a doublet [31]. This phenomenon has been exploited in many studies by Snyder and his colleagues to monitor the kinetics of demixing events in alkanes [32], and structural organization in phospholipid domains [33]. Our laboratories have used these modes to investigate ordered lipid phases in stratum corneum skin barrier models [20,22]. Some of the primary data are presented in Fig. 6 and show the temper-



ature dependence of the methylene scissoring vibrations for C14-Cer and C20-Cer. The narrower peak for the C14-Cer suggests hexagonal packing, whereas the broad nature of the  $\delta\text{CH}_2$  peak for the C20-Cer suggests the presence of splitting in the underlying modes. The presence of a looser packing for the C14-Cer is also manifest in Fig. 4B, where the line-widths of the  $\text{CH}_2$  stretching vibrations of the proteated base chain for  $\text{d}_{27}\text{C14-Cer}$  are substantially (15–30%) greater than for the other derivatives. This looser packing is reflected in the reduced interchain vibrational coupling (or, in fact, absence of the orthorhombic perpendicular phase) in the fully proteated species, as shown through the scissoring modes in Fig. 6.

The utility of isotopic substitution for revealing intermolecular behavior is illustrated by the scissoring mode spectra of the fatty acid and base chains for  $\text{d}_{27}\text{C14-Cer}$  and  $\text{d}_{39}\text{C20-Cer}$  in Fig. 7. In the  $\text{d}_{27}\text{C14-Cer}$  both the  $\delta\text{CH}_2$  and  $\delta\text{CD}_2$  of the sphingosine and fatty acid chains, respectively, exhibit a single narrow peak, characteristic of hexagonal chain packing. The spectra show little variation with temperature, although a slight frequency shift is observed for both modes at the first transition (approx.  $65^\circ\text{C}$ , as determined from Fig. 2).

The  $\delta\text{CD}_2$  of the deuterated fatty acid chains in  $\text{d}_{39}\text{C20-Cer}$  exhibit a  $3\text{ cm}^{-1}$  splitting at all temperatures below the orthorhombic-hexagonal chain packing transition (this is also observed for  $\text{d}_{35}\text{C16-Cer}$  and  $\text{d}_{37}\text{C18-Cer}$ ). At the first transition (as determined from  $\nu_s\text{CH}_2$  in Fig. 2), the splitting collapses and a single peak is observed at  $1087\text{ cm}^{-1}$ . This observation provides direct evidence that the deuterated chains are vibrationally coupled in microdomains, at lower temperatures. The segregation of microdomains (i.e., 3–5 chains) has also been reported by Levin and co-workers in studies of gel phase phospholipids [34]. Interestingly, the  $\delta\text{CH}_2$  of the  $\text{d}_{39}\text{C20-Cer}$  sphingosine (proteated) base chain exhibits a sharp single scissoring band at all temperatures (see Fig. 7). This lack of splitting implies the base chains in these orthorhombically packed assemblies are sufficiently separated so as to inhibit vibrational coupling between them.

Quantitative evaluation of the band contour in the deuterated derivatives permits the detection of microdomains ranging from one to 100 chains. The meth-

od, developed by Snyder and his co-workers, is based on studies of mixtures of molecules with differing isotopes (or of individual molecules containing isotopically mixed chains). As noted above, the components of the scissoring modes appear at approx.  $1462\text{--}1474\text{ cm}^{-1}$  for proteated chains, and  $1084\text{--}1092\text{ cm}^{-1}$  for deuterated chains, in pure phases of molecules with orthorhombic perpendicular subcell packing. Snyder et al. have shown that the splitting increases with domain size and asymptotically approaches a maximum value (approx.  $12\text{ cm}^{-1}$  for  $\text{CH}_2$  and  $8\text{ cm}^{-1}$  for  $\text{CD}_2$ ) for domains larger than about 100 chains [35]. Thus the band shape reflects the domain size distribution function. For C20-Cer the splitting is reduced from the maximum for two reasons. First, each deuterated chain has a proteated chain adjacent to it. Second, the domains of deuterated chains are relatively small. Comparison of the magnitude of the  $\text{CD}_2$  scissoring splitting with the calculated curves of Snyder et al., suggests a domain size of 3–5 chains, although the effect of a constant proteated chain in the vicinity of a deuterated chain on the scissoring contour is difficult to account for precisely.

IR offers the ability to directly monitor water penetration into the lamellae as revealed by examination of the temperature dependence of the amide II vibration (approx.  $1550\text{ cm}^{-1}$ ) intensity (Fig. 8). This normal mode is a mixture of C-N stretch and N-H in-plane bending internal coordinates. H $\rightarrow$ D exchange alters the mixing of internal coordinates and lowers the frequency to approx.  $1450\text{ cm}^{-1}$ . At temperatures well below the orthorhombic-hexagonal chain packing transition, the relative intensity of the amide II mode is constant, thus indicating that  $^2\text{H}_2\text{O}$  is unable to penetrate into the highly ordered lamellar structure. In contrast, the band intensity diminishes greatly near the orthorhombic-hexagonal chain packing transition, the direct result of the H $\rightarrow$ D exchange shifting the amide II frequency. We have previously observed that in bovine brain ceramide each of the amide I and II modes are split into a doublet [21]. This splitting was tentatively attributed to intermolecular interactions between amide groups located in different layers in the structure, a hypothesis supported by recent ceramide 2 monolayer studies in which amide I splitting was not observed in conformationally ordered monolayers [24]. It might be ex-

pected that if there were substantial interlamellar water, the intermolecular interaction, which is a relatively short range interaction, would be lost.

Thus, we propose the following sequence of thermotropic events for these molecules. Hydration of the headgroup region (as shown from the disappearance of the amide II mode) is accompanied by a solid-solid phase transition (orthorhombic-to-hexagonal, at least for the C16, C18 and C20 derivatives). The hydration is limited to at most a few water molecules, resulting in a diminished chain length dependence of the chain melting transition temperature compared to well hydrated systems such as PCs. Cevc and Marsh, who proposed equations for the chain length dependence of the transition temperature of anhydrous phospholipids, and for the hydration-induced transition-temperature shifts, have discussed the latter effect in detail [36]. We cannot apply these equations to the ceramide series studied here, since we do not know the exact hydration levels although we suspect these may be different for each derivative.

The suggestion of limited ceramide hydration, even under conditions of the ATR experiments reported here (sample submerged under  $^2\text{H}_2\text{O}$ ) is consistent with the X-ray measurements of Bouwstra and colleagues, who observed no change in interlamellar spacing as a function of increased water content in studies of hydrated SC lipids [37]. Similar findings were recently reported by McIntosh and colleagues in X-ray studies of galactosylceramides [38]. Finally, Shipley and co-workers used DSC to study the effect of putative hydration (i.e. percent water content in a ceramide sample) on transition temperatures of C16-Cer [39]. A  $4^\circ$  decrease in  $T_m$  (compared to the anhydrous lipid) was noted for a sample containing 3.6 wt% water (approx. one water molecule/lipid molecule). However, little further decrease in  $T_m$  was observed with additional water, suggesting that further penetration into the lamellae to increase the C16-Cer hydration level was limited.

#### 4.1. *Implications for the role of ceramide 2 in skin barrier function*

The primary motivation for these biophysical studies is to acquire precise molecular level understanding of the inter- and intramolecular organization and

phase behavior of hydrated ceramide 2 molecules. This is because ceramide 2 is a major constituent of the SC lipid matrix and therefore plays a critical role in skin barrier function. It is hoped that this understanding will provide some insight into the specific molecular contribution that ceramide 2 makes to SC lipid organization and barrier function.

It is interesting to note that ceramide 2 isolated from human stratum corneum generally contains longer chain fatty acids than those utilized in the current study [6]. However, the current work indicates that the fundamental phase behavior of ceramide 2 may be nearly independent of chain length, primarily, due to its very small sphere of hydration (it is also worth noting that splitting of the headgroup amide I and II modes, is also independent of chain length). One would expect, however, that mixing (and demixing) in multicomponent lamellar systems will be significantly influenced by the chain length of the various components. With this understanding of the phase behavior of these fatty acid homogeneous ceramide 2 molecules we are now investigating multicomponent models of the skin barrier lipid matrix.

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